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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-1103

First Named Inventor : Tetsuji TOGAWA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2. ☐ Small Entity Status is hereby asserted.3. ☒ Specification [Total Pages: 27]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]5. ☒ Oath or Declaration [Total Pages: 3]

- a.1. ☐ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet (see 37 CFR 1.76)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 9. ☐ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
  - ☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
- 13. ☒ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
- 15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☒ Other Change of Address

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/132,482

Prior Application Information: Examiner R. Rose

Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

000513

PATENT TRADEMARK OFFICE

By:

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August 7, 2001

THE COMMISSIONER IS AUTHORIZED  
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08/07/01

J1003 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Tetsuji TOGAWA et al. :  
Serial No. NEW : Attn: Application Branch  
Filed August 7, 2001 : Attorney Docket No. 2001-1103  
  
POLISHING APPARATUS  
(Rule 1.53(b) Divisional  
of Serial No. 09/132,482,  
Filed August 11, 1998)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1908.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$710.00  
  
Additional Claims Fee:  
Excess of Twenty ..... \$558.00  
Independent ..... \$640.00  
Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

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Respectfully submitted,

Tetsuji TOGAWA et al.

By

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[Check No. 45874]

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